



Privacy Notice - Information Sharing Options

Sigma Financial Corporation, Parkland Securities, LLC, and Sigma Planning Corporation (Sigma/Parkland/SPC) present your options on how we share your personal information as discussed in our Privacy Notice. If you would like to limit our sharing of your personal information, or if you live in one of the “opt-in” states and would like to authorize us to share your information, please complete this form in its entirety and mail it to:

Attn: Reception
 Sigma/Parkland/SPC
 300 Parkland Plaza
 Ann Arbor, MI 48103



BD0313

Personal Information Sharing

YOUR NAME		PHONE NUMBER	
STREET ADDRESS			
CITY	STATE	ZIP CODE	
WHERE YOUR ACCOUNT IS HELD (E.G., NATIONAL FINANCIAL, AMERICAN FUNDS, PRUDENTIAL, ETC.)			
ACCOUNT NUMBER	OR	All Accounts held through Sigma Financial Corporation, Parkland Securities, LLC, or Sigma Planning Corporation	
YOUR REPRESENTATIVE'S NAME			
CLIENT SIGNATURE			DATE

PLEASE CHOOSE ONE:

- Opt-Out:** I choose to opt-out on the sharing of my information with Sigma/Parkland/SPC affiliates and non-affiliates. I understand that if my registered representative were to leave Sigma/Parkland/SPC for another firm or sell their book of business to an unaffiliated representative, my representative will not be able to keep my personal information (including, but not limited to: my name, address, account numbers, or other account information) in their files, which could keep my account(s) from moving with my representative to their new firm or their chosen successor. This choice will remain in effect until I revoke or modify it, which I may do in writing at any time.

- Opt-In:** I live in a state (such as AK, CA, MA, ND, VA, and VT) that requires me to opt-in to the sharing of my information with Sigma/Parkland/SPC affiliates and non-affiliates. By choosing to opt-in, my registered representative will have the ability to keep my personal information (including, but not limited to: my name, address, account numbers, and other account information) in their files in the event they leave Sigma/Parkland/SPC to join another firm or choose to sell their book of business to an unaffiliated representative. This choice will remain in effect until I revoke or modify it, which I may do in writing at any time.

Sigma/Parkland/SPC will maintain a copy of this form in its records. Although you can request a copy of this document from our files at any time, we recommend making a copy for your own records as well.